

Authority Mission Statement and Performance Measurements
Annual Review – 3/8/2011

Name of Public Authority: The Hudson River – Black River Regulating District

Public Authority's Mission Statement:

The mission of the Hudson River-Black River Regulating District is to regulate the flows of the Hudson River and Black River for the purposes of flood protection and flow augmentation.

Date Adopted: First adopted on November 5, 2007
Reaffirmed March 8, 2011 as part of the Regulating District's Annual Report

List of Performance Goals: (If additional space is needed, please attach):

Performance Goals: Review

- Provide flood protection and low flow augmentation through reservoir releases at Great Sacandaga Lake, Indian Lake, Stillwater Reservoir, Old Forge Reservoir, and Sixth Lake Reservoir - Accomplished
- Adhere to Upper Hudson/Sacandaga River Offer of Settlement – Accomplished
- Adhere to operating plans for each reservoir – Accomplished
- Provide public safety – Accomplished
- Provide public information pertinent to the mission – Accomplished
- Maintain safe facilities – Accomplished
- Land management – Accomplished
- Maintain sound financial status – Accomplished in the Black River Area. Hudson River Area funding currently pending apportionment litigation. Budget austerity measures have been instituted.

Metric:

- Upper Hudson/Sacandaga River Offer of Settlement
- Reservoir (target elevation) rule curves
- River flow thresholds / limits included in Offer of Settlement and operating plans
- Occurrence and extent of flooding
- Public feedback
- Safety inspections completed by professional engineering staff, FERC, and DEC
- Compliance with DEC, APA and others.....
- Annual independent audit, Public Authority Reporting compliance

Additional Questions:

1. ***Have the Board Members acknowledged that they have read and understood the mission of the Public Authority?***

Yes

2. ***Who has the power to appoint the management of the Public Authority?***

The Regulating District Board directly appoints all employees of the Regulating District, including management.

3. ***If the Board appoints management, do you have a policy you follow when appointing the management of the public authority?***

The Regulating District Board adopted a written policy to follow when appointing the management of the Regulating District. Also, Article VII of the Regulating District's Bylaws establishes the authority and duty of the appointed staff management and enumerates where appropriate, the qualifications necessary for appointment.

4. ***Briefly describe the role of the Board and the role of management in the implementation of the mission.***

The Board is the primary policy maker for the Hudson River – Black River Regulating District and directs senior management in the exercise of all power and authority granted to the Regulating District by Article 15, Title 21 of the Environmental Conservation Law.

The Board:

- Reviews/Monitors Hudson River-Black River Regulating District's reservoir operational performance against clearly defined performance objectives.
- Ensures performance goals are attained.
- Makes sure that the Hudson River-Black River Regulating District possesses the financial and other resources necessary to realize its visions and carry out its mission fully.
- Assure that all Board Members are fully engaged in the governance process and that the resources they bring to the Board are fully utilized in governing.
- Provides Appellate Review of management's land management determinations.
- Takes accountability for its own performance as a governing body.

Management:

- Determines the quantity of water released.
- Monitors river flow.
- Evaluates key measurements; elevation, flow, storage, precipitation, and snow.
- Conducts inspections.
- Develops capital project plan
- Supervises land management activities

5. *Has the Board acknowledged that they have read and understood the responses to each of these questions?*

I acknowledge that I have read and understood the responses to the questions answered above:

- | | | |
|----|---------------------------------------------|---------------------|
| a. | David W. Berkstresser – Chairperson | _____ Yes _____ |
| b. | Michael F. Astafan – First Vice Chairperson | _____ Yes _____ |
| c. | Albert J. Hayes – Second Vice Chairperson | _____ Yes _____ |
| d. | Thomas Stover – Board Member | _____ Excused _____ |
| e. | Mark M. Finkle – Board Member | _____ Yes _____ |
| f. | Philip W. Klein – Board Member | _____ Yes _____ |